

FOSKOR MINING

an Operating Division of FOSKOR (PTY) LTD

[hereinafter referred to as **Foskor**]

[Registration No. 1951/002918/07]

**REQUEST FOR PROPOSAL [RFP] [GOODS]
FOR THE SUPPLY OF A TRACK DOZER.**

RFP NUMBER	FOSCO-RFP-45-2024
ISSUE DATE:	06 March 2024
CLOSING DATE:	27 March 2024
CLOSING TIME:	12:00 PM
BID VALIDITY PERIOD:	180 Business Days from Closing Date

NOTE TO BIDDERS: ALL SUBMISSIONS MUST INCLUDE A USB FLASH DRIVE/MEMORY STICK THAT CONTAINS YOUR BID SUBMISSION ON THE CLOSING DATE AND TIME AS MANDATORY REQUIREMENT

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**FOR THE SUPPLY OF A TRACK DOZER.
SECTION 1: SBD1 FORM****PART A
INVITATION TO BID****YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF FOSKOR MINING AND FOSKOR ACID,
DIVISIONS FOSKOR (PTY) LTD**

BID NUMBER:	FOSCO-RFP-45-2024	ISSUE DATE:	06 March 2024	CLOSING DATE:	27 March 2024	CLOSING TIME:	12:00pm
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DESCRIPTION For the supply of a track dozer.

BID RESPONSE DOCUMENTS SUBMISSION**RESPONDENTS ARE TO SUBMIT THEIR BID RESPONSES AT THE RECEPTION OF THE FOLLOWING ADDRESS****- Dimension Data****Building 5****Waterfall Corporate Campus****74 Waterfall Drive****Waterfall City****Please include a USB Flash Drive (soft copy) with your submission**

BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO		TECHNICAL ENQUIRIES MAY BE DIRECTED TO:	
CONTACT PERSON	Clayton Losper	CONTACT PERSON	Clayton Losper
TELEPHONE NUMBER	011 347 0638	TELEPHONE NUMBER	011 347 0638
E-MAIL ADDRESS	claytonl@foskor.co.za	E-MAIL ADDRESS	claytonl@foskor.co.za

SUPPLIER INFORMATION

NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE	UNIQUE REGISTRATION REFERENCE NUMBER: MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] ☐ Yes ☐ No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT		TICK APPLICABLE BOX] ☐ Yes ☐ No

Respondent's Signature

Date & Company Stamp

[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED FOR PURPOSES OF COMPLIANCE WITH THE B-BBEE ACT]			
1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	☐ Yes ☐ No [IF YES ENCLOSE PROOF]	2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	☐ Yes ☐ No [IF YES, ANSWER QUESTIONNAIRE BELOW]
QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS			
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?		☐ YES ☐ NO	
DOES THE ENTITY HAVE A BRANCH IN THE RSA?		☐ YES ☐ NO	
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?		☐ YES ☐ NO	
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?		☐ YES ☐ NO	
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?		☐ YES ☐ NO	
IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 1.3 BELOW.			

PART B

TERMS AND CONDITIONS FOR BIDDING

1. TAX COMPLIANCE REQUIREMENTS
1.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS. 1.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS. 1.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA. 1.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID. 1.5 IN BIDS WHERE UNINCORPORATED CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER. 1.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

.....

CAPACITY UNDER WHICH THIS BID IS SIGNED:

.....

(Proof of authority must be submitted e.g. company resolution)

DATE:_____

Respondent's Signature

Date & Company Stamp

SECTION 2: NOTICE TO BIDDERS**1 INVITATION TO BID**

Responses to this RFP [hereinafter referred to as a **Bid** or a **Proposal**] are requested from persons, companies, close corporations or enterprises [hereinafter referred to as an **entity, Respondent** or **Bidder**].

DESCRIPTION	For the supply of a track dozer.
TENDER ADVERT	All Foskor tenders are advertised on the Foskor Website.
COMMUNICATION	Foskor will publish the outcome of this RFP on the National Treasury e-tender portal and Foskor website with 10 days after the award has been finalised. All unsuccessful bidders have a right to request for reasons for their bid not being successful. This requested must be directed to the contact person stated in the SBD 1 form Any addenda to the RFP or clarifications will be published on the e-tender portal and Foskor website. Bidders are required to check the e-tender portal or Foskor website prior to finalising their bid submissions for any changes or clarifications to the RFP. Foskor will not be held liable if Bidders do not receive the latest information regarding this RFP with the possible consequence of either being disadvantaged or disqualified as a result thereof.
BRIEFING SESSION	N/A
CLOSING DATE	12:00 pm on Wednesday 27th March 2024 Bidders must ensure that bids are Generally, if a bid is late, it will not be accepted for consideration.
VALIDITY PERIOD	180 Business Days from Closing Date Bidders are to note that they may be requested to extend the validity period of their bid, at the same terms and conditions, if the internal evaluation process has not been finalised within the validity period. However, once the adjudication body has approved the process and award of the business to the successful bidder(s), the validity of the successful bidder(s)' bid will be deemed to remain valid until a final contract has been concluded. Should a bidder fail to respond to a request for extension of the validity period before it expires, that bidder will be excluded from tender process. With regard to the validity period of next highest ranked bidders, please refer to Section 2, paragraph 10.12

Any additional information or clarification will be published on the e-Tender portal and Foskor website, if necessary.

2 FORMAL BRIEFING

A formal briefing session will not be held but should Respondents have specific queries they should email these to the Foskor employee(s) indicated in paragraph 6 [*Communication*] below:

3 RFP INSTRUCTIONS

- 3.1 Please sign documents [sign, stamp and date the bottom of each page] before submitting them. The person or persons signing the submission must be legally authorised by the respondent to do so.
- 3.2 **All returnable documents tabled in the Proposal Form [Section 5] must be returned with proposals.**
- 3.3 Unless otherwise expressly stated, all Proposals furnished pursuant to this RFP shall be deemed to be offers. Any exceptions to this statement must be clearly and specifically indicated.
- 3.4 **Bidder responses must include a soft copy of the submission in the form of a USB Flash Drive (stick).**
- 3.5 Any additional conditions must be embodied in an accompanying letter. Subject only to clause 15 [Alterations made by the Respondent to Bid Prices] of the General Bid Conditions, paragraph 12 below (Legal Review) and Section 6 of the RFP, alterations, additions or deletions must not be made by the Respondent to the actual RFP documents.

4 JOINT VENTURES OR CONSORTIUMS

Respondents who would wish to respond to this RFP as a Joint Venture [**JV**] or consortium with B-BBEE entities, must state their intention to do so in their RFP submission. Such Respondents must also submit a signed JV or consortium agreement between the parties clearly stating the percentage [%] split of business and the associated responsibilities of each party. If at the time of the bid submission such a JV or consortium agreement has not been concluded, the partners must submit confirmation in writing of their intention to enter into a JV or consortium agreement should they be awarded business by Foskor through this RFP process. This written confirmation must clearly indicate the percentage [%] split of business and the responsibilities of each party. In such cases, award of business will only take place once a signed copy of a JV or consortium agreement is submitted to Foskor.

- Respondents are to note that for the purpose of Evaluation, a JV will be evaluated based on one consolidated B-BBEE score card (a consolidated B-BBEE Status Level verification certificate) Preference points will be awarded to a bidder for attaining the specific goals requirements in accordance with the table indicated in Table 3.2 of the specific goals Claim Form.

5 COMMUNICATION

- 5.1 For specific queries relating to this RFP, an RFP Clarification Request Form should be submitted onto the system and to [**Clayton Losper**] before **12:00 pm, 22 March 2024**, substantially in the form set out

in Section 8 hereto. In the interest of fairness and transparency, Foskor's response to such a query will be published on the e-tender portal and Foskor website.

- 5.2 After the closing date of the RFP, a Respondent may only communicate with the DBAC Secretariat [**Mpho Thipe**], at telephone number 011 347 0607, email mphot@foskor.co.za on any matter relating to its RFP Proposal.
- 5.3 Respondents are to note that changes to its submission will not be considered after the closing date.
- 5.4 It is prohibited for Respondents to attempt, either directly or indirectly, to canvass any officer or employee of Foskor in respect of this RFP between the closing date and the date of the award of the business.
- 5.5 Respondents found to be in collusion with one another will be automatically disqualified and restricted from doing business with organs of state for a specified period.
- 5.6 Foskor will publish the outcome of this RFP in the Foskor website with 10 days after the award has been finalised. Respondents are required to check the Foskor website for the results of the tender process. All unsuccessful bidders have a right to request Foskor to furnish individual reasons for their bid not being successful. This requested must be directed to the contact person stated in the SBD 1 form

6 CONFIDENTIALITY

All information related to this RFP is to be treated with strict confidence. In this regard Respondents are required to certify that they have acquainted themselves with the Non-Disclosure Agreement. All information related to a subsequent contract, both during and after completion thereof, will be treated with strict confidence. Should the need however arise to divulge any information related to this RFP or the subsequent contract, written approval must be obtained from Foskor.

7 COMPLIANCE

The successful Respondent [hereinafter referred to as the **Supplier/Service provider**] shall be in full and complete compliance with any and all applicable laws and regulations.

8 EMPLOYMENT EQUITY ACT

Respondents must comply with the requirements of the Employment Equity Act 55 of 1998 applicable to it including (but not limited to) Section 53 of the Employment Equity Act.

9 DISCLAIMERS

Respondents are hereby advised that Foskor is not committed to any course of action as a result of its issuance of this RFP and/or its receipt of Proposals. In particular, please note that Foskor reserves the right to:

- 9.1 modify the RFP's Goods/Services and request Respondents to re-bid on any such changes;
- 9.2 reject any Proposal which does not conform to instructions and specifications which are detailed herein;
- 9.3 disqualify Proposals submitted after the stated submission deadline [closing date];
- 9.4 award a contract in connection with this Proposal at any time after the RFP's closing date;
- 9.5 award a contract for only a portion of the proposed Goods/Services which are reflected in the scope of this RFP;
- 9.6 split the award of the contract between more than one Supplier/Service provider, should it at Foskor's discretion be more advantageous in terms of, amongst others, cost or developmental considerations;

- 9.7 cancel the bid process;
- 9.8 validate any information submitted by Respondents in response to this bid. This would include, but is not limited to, requesting the Respondents to provide supporting evidence. By submitting a bid, Respondents hereby irrevocably grant the necessary consent to Foskor to do so;
- 9.9 request audited financial statements or other documentation for the purposes of a due diligence exercise;
- 9.10 not accept any changes or purported changes by the Respondent to the bid rates after the closing date and/or after the award of the business, unless the contract specifically provided for it;
- 9.11 to cancel the contract and/request that National Treasury place the Respondent on its Database of Restricted Suppliers for a period not exceeding 10 years, on the basis that a contract was awarded on the strength of incorrect information furnished by the Respondent or on any other basis recognised in law;
- 9.12 to award the business to the next ranked bidder, provided that he/she is still prepared to provide the required Goods at the quoted price, should the preferred bidder fail to sign or commence with the contract within a reasonable period after being requested to do so. Under such circumstances, the validity of the bids of the next ranked bidder(s) will be deemed to remain valid, irrespective of whether the outcome of the tender has been published the outcome of the bid process on the National Treasury e-tender Portal and Foskor website. Bidders may therefore be requested to advise whether they would still be prepared to provide the required Goods at their quoted price.

Note that Foskor will not reimburse any Respondent for any preparatory costs or other work performed in connection with its Proposal, whether or not the Respondent is awarded a contract.

10 LEGAL REVIEW

A Proposal submitted by a Respondent will be subjected to review and acceptance or rejection of its proposed contractual terms and conditions by Foskor's Legal Counsel, prior to consideration for an award of business. A material deviation from the Standard terms or conditions could result in disqualification.

11 SECURITY CLEARANCE

Acceptance of this bid could be subject to the condition that the Successful Respondent, its personnel providing the Goods/Services and its subcontractor(s) must obtain security clearance from the appropriate authorities to the level of **CONFIDENTIAL/ SECRET/TOP SECRET**. Obtaining the required clearance is the responsibility of the Successful Respondent. Acceptance of the bid is also subject to the condition that the Successful Respondent will implement all such security measures as the safe performance of the contract may require.

12 NATIONAL TREASURY'S CENTRAL SUPPLIER DATABASE

Respondents are required to self-register on National Treasury's Central Supplier Database (CSD) which has been established to centrally administer supplier information for all organs of state and facilitate the verification of certain key supplier information. Respondents must register on the CSD prior to submitting their bids. Business may not be awarded to a Respondent who has failed to register on the CSD. Only foreign suppliers with no local registered entity need not register on the CSD.

13 TAX COMPLIANCE

Respondents must be compliant when submitting a proposal to Foskor and remain compliant for the entire contract term with all applicable tax legislation, including but not limited to the Income Tax Act, 1962 (Act No. 58 of 1962) and Value Added Tax Act, 1991 (Act No. 89 of 1991).

It is a condition of this bid that the tax matters of the successful Respondents be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the Respondents tax obligations.

The Tax Compliance status requirements are also applicable to foreign Respondents/ individuals who wish to submit bids.

Where Consortia / Joint Ventures / Sub-contractors are involved, each party must be registered on the Central Supplier Database and their tax compliance status will be verified through the Central Supplier Database.

Respondent's Signature

Date & Company Stamp

SECTION 3: BACKGROUND AND SCOPE OF REQUIREMENTS**1 BACKGROUND**

Foskor is one of the world's largest producers of phosphate rock (concentrate) and phosphoric acid. It is one of the world's few vertically integrated producers of phosphoric acid and is the second largest supplier to India, the world's largest consumer of phosphoric acid.

The Company owns and mines phosphate resources and beneficiates the mined material to produce a phosphate concentrate at Phalaborwa, in the Limpopo Province of South Africa. The phosphate concentrate is sold locally and also transported to the Richards Bay plant on the coast of Kwa-Zulu Natal to produce phosphoric acid, sulphuric acid and granular fertilisers MAP and DAP from phosphoric acid and is the leading supplier of fertilisers to South Africa. In all about 95% of the phosphoric acid is exported and the granular sales are divided between exports and local markets. Since 1951 Foskor has supplied more than 95% of South Africa's fertiliser requirements.

2 SCOPE OF REQUIREMENTS

Crushers section – South Crusher is in the process of rebuilding its stockpile. This stockpile will need a dedicated track dozer to doze or push material in case of breakdown or service days on the crusher for downstream activities to continue operations. A track dozer is required with capabilities as listed below.

This document thus covers the minimum requirements for the supply of track dozer.

	Machine
Diesel Powered Engine	Min 440 – max 460 kW forward
Blade Capacity	18m ³
Operating Weight	Maximum 75000kg

BASIC REQUIREMENT

The supply of a self-propelled, track-driven, diesel-powered track dozer.

The track driven dozer must be delivered fully equipped, fully fuelled, ready for operation and must comply with all the specifications and requirements of this scope.

The track dozer must be manufactured and tested to meet all SAE, ISO and/or SANS standards and requirements for track driven dozers.

TRACK DOZER SPECIFICATIONS AND REQUIREMENTS

This scope covers the minimum specifications, requirements, and basic characteristics for a self-propelled, track-driven, diesel-powered track dozer.

GENERAL

- a) The track dozer must be equipped to ensure optimal efficiency under Foskor operating conditions.
- b) The track dozer must be track drive with all terrain capability.
- c) The track dozer offered must comply with the following standards of safety:
 - I. Foskor standard procedures and requirements for safety and health.
 - II. The Mines Health and Safety Act and Regulations, 29/1996
 - III. The National Road Traffic Act and Regulations, 93/1996

- IV. The National Transport Act and Regulations, 74/1977
- V. All relevant SAE, ISO and SANS standards
- g) All materials and parts used must be freely available in the Republic of South Africa for a minimum period of fifteen (15) years.
- h) All tests and inspections of the assembled track dozer and major components must be conducted at the suppliers' premises prior to dispatching to site in Phalaborwa.
- i) The supplier is responsible for the transporting to site and offloading of the track dozer.
- j) All meetings will be held on Foskor premises, unless arranged otherwise.
- k) Upon delivery, the track dozer will undergo a complete inspection to ensure that all the requirements of this SCOPE have been met.

TRACKS

- a) Chains to be sealed and lubricated.
- b) Chain tension via hydraulic cylinder.
- c) Complete standard set of tracks to be quoted and supplied as part of critical spares.

STEERING SYSTEM

Must be hydrostatically actuated and must have unlimited maneuverability for full power turns and counter rotation.

CAB

- a) A ROPS-type canopy according to ISO 3471 (1994) must be provided.
- b) A FOPS-type canopy according to ISO 3449 (1992) must be provided
- c) Large clearly marked, tinted safety glass must be used through-out to provide good visibility
- d) Sliding windows with flat handles must be fitted on both sides of the cab
- e) Fitted with lockable doors
- f) Clearly audible horn. The sound must be clearly audible at a distance of at least 90 meters.
- g) Rear view mirrors – regular and wide angle
- h) Cab sound level with doors and windows closed must not exceed 82 dB(A)
- i) 2-way radio must be installed in overhead console.

Make RTS model DV2066 programmed to the following frequencies:

Channel 1: TX 76,375 RX 69,450

Channel 2: TX 76,350 RX 69,425

Channel 3: TX 76,975 RX 71,525

Channel 4: TX 72,800 RX 72,800 (Channel 4 with CTCSS encode + decode 94,8Hz)

(Any RTS agent or Mr Danie Ferreira at dfelectronicent@gmail.com can be contacted for details)

- j) Cab offered must have at least the following features:
 - I. 2 x two speed electric windscreen wipers
 - II. Windscreen demister
 - III. Adjustable sun visor
 - IV. Air suspension seat with a dual-sided support recliner, fully height-backrest adjustable (90° to 120°), adjustable armrests, seat belt and thicker cushions.
 - V. Seats and door padding to be covered in heavy duty rip-stop material.

AIR CONDITIONER

- a) The air conditioner unit must be designed to maintain a cabin temperature of between 18°C and 25°C under the following given extreme conditions:

PARAMETER	VALUE
Maximum outside summer temperature	45°C
Maximum outside winter temperature	30°C
Minimum outside winter temperature	0°C
Approximate altitude above sea level	450 m

- b) All major components of the air conditioner, except the condenser and cab-heating unit, must be housed together in one modular unit.
- c) The compressor must be designed to deliver the required cooling output at the average engine speed encountered during the vehicle's normal operating cycle.
- d) All units offered must be ozone friendly and operate on refrigerant R134A.

ENGINE

- a) Track dozer to be fitted with engine either from Komatsu, Liebherr, Caterpillar or equivalent.

Engine power rating must be sufficient to easily ascend an incline of 30 degrees.	Minimum 440 - Maximum 460 kW Forward
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- c) Exhaust emissions to meet all relevant ordinances.
- d) Engine cooling system must be compatible with NALCOOL 2000 or any Ethylene Glycol based coolant.
- e) Engine exhaust system must be securely mounted and must be routed at least 300mm from any electrical systems, electrical wiring, and hydraulic/oil systems.
- f) Micro oil and air filters to be engine manufacturer specified.
- g) Micro filtration system with engine oil filter restriction indicators (To be linked with the on-board vital signs monitoring system)
- h) Track dozer to be fitted with a lockable master switch (Bosch 4 pole master switch and isolator locking bracket Trysome part. T170015403) to disconnect negative side of battery. Switch to be mounted within the engine compartment and be easily accessible for quick isolation.

BRAKES

- a) Hydrostatic (Full description of the braking system to be attached to official quotation)
- b) All steel pipes in the hydraulic braking circuit must be seamless.

PAINTING AND COLOUR

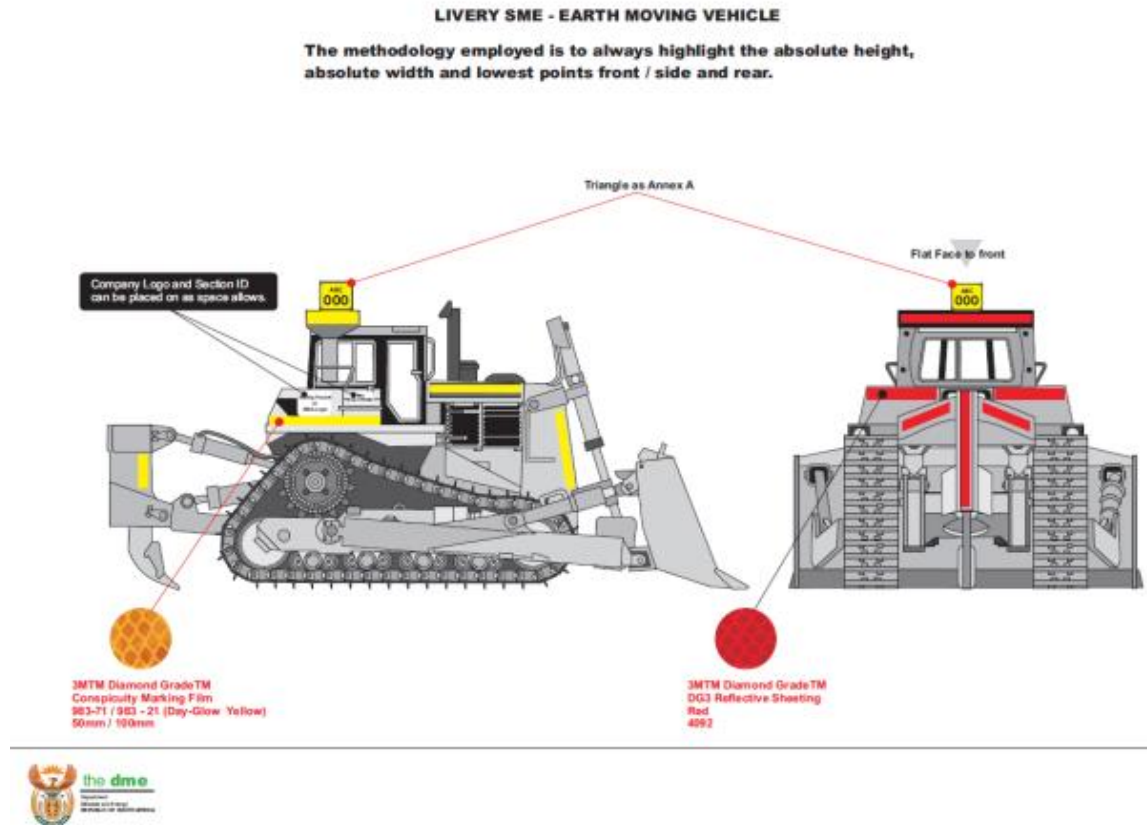
- a) Track dozer to be painted with high quality SANS approved automotive paint.
- b) Cab and track rims to be painted white.
- c) Frame, bonnet and loading arms to be painted in Foscors' corporate green: RAL code 6001
- d) Trimmings and decorative markings can remain in supplier standard colour.

FUEL TANK

- a) Fuel tanks of sufficient capacity for 8 hours continuous operation must be fitted.
- b) A level probe, indicating full, as well as 3-hour fuel reserve, must be supplied and must be connected to the Vital Signs system or warning panel.
- c) A 400-mm standpipe on breather must be supplied. Breather design must prevent spillage during filling.
- d) Fuel tanks to be fitted with lockable fuel caps.

CONSPICUITY MARKING FILM AND LABELS

- a) Track dozer shall be provided with conspicuity marking strips (Tape) as follows:



- I. SIDES: Yellow (3M Diamond grade 983-71)
 - II. REAR: Red (3M Diamond grade 4092)
 - III. FRONT: White (3M Diamond grade 4090)
 - IV. Tape strips shall be placed in a straight line with the least number of interferences (eg. Door handles, light lenses, exterior mirrors, etc) to clearly indicate vehicle length/width, height and general outline.
 - V. Tape strips to be cut 25mm shorter than desired length so that the strip ends short of a panel edge.
 - VI. Round corners (10mm radius) of tape strip to prevent film lifting away from surface/panel
 - VII. Tape strips to be flat and smooth, no wrinkles.
 - VIII. Tape strip may not be placed on any rotating part of Track Dozer.
 - IX. Tape strip may not be placed on any windows (Front, rear or side).
- b) **“Blank”** vehicle identification labels shall be placed as per above depiction.
- I. Dimensions 400 x 300. Corner radius 30mm.
 - II. Material reflective 3M Digital Grade DG3 Reflective Sheeting 4083 – Yellow
 - III. Border to be printed in Screen Print in 3M Ink Series 880i, black 12 mm thick

HYDRAULIC COMPONENTS

- a) Where possible, hydraulic lines must be of steel and routed separately from electrical cables, hot engine components and exhaust system.
- b) The hydraulic pressure rating must be indicated.
- c) Hydraulic filters must be specified, and electrical by-pass indication must be indicated.
- d) Contamination control instrument coupling must be installed in order to draw oil samples.

LIGHTING AND ILLUMINATION

- a) The track dozer to be provided with 2 forward facing headlights, 2 forward facing working lights and 2 rear facing working lights.
 - I. Tail lights, stop lights, hazard lights and directional indicators must be HELLA LED lamps.
 - II. Main, working and reverse lights (24 Volt) must to be HELLA MEGA BEAM LED lights and must provide adequate lighting for safe night time operation.
 - III. Install a step ladder light to provide safe access during night time.

(The following groups supply Automotive LED globes: HELLA, AC/DC Dynamics, Electro Diesel Group, TRYSOME, and FARASONN Auto Electrical)

INSTRUMENTATION, SAFETY AND WARNING DEVICES AND ALARMS

- a) All instruments must be metric and labeled in English
- b) All instruments must be illuminated
- c) The following safety devices must be fitted:
 - I. Electrical horn. The sound must be clearly audible at a distance of at least 90 meters.
 - II. Electrical audible back-up warning device (ECCO 787). The sound must be clearly audible at a distance of at least 90 meters.
 - III. Amber LED strobe light must be mounted on top of the cab. Independent on-off switch mounted on dash.
- d) Track dozer to be fitted with a lockable master switch (Bosch 4 pole master switch and isolator locking bracket Trysome part.T170015403) to disconnect negative side of battery. Switch to be mounted within the engine compartment and be easily accessible for quick isolation.
- e) Track dozer to be supplied with a set of emergency warning triangles with a dedicated storage compartment within the cab.
- f) Track dozer to be supplied with a set (2) of stop-blocks (chock-blocks):
 - I. Stop-blocks to be manufactured from all-weather heavy duty material. Color bright yellow.
 - II. Stop-blocks to fit track radius snugly and be suitable for the Track Dozer.
 - III. Stop-blocks to be securely mounted in a heavy duty steel bracket located beneath the cab in an unobstructed location and must be easily accessible.
 - IV. Stop-blocks to be fitted with non-slip pads and a handle for easy handling.

SAVER / PROTECTION SYSTEM

- a) Track dozer must be provided with a standard factory fitted saver / protection system to ensure that the engine and major components are not endangered when the following operational parameters are exceeded.
 - I. Low engine oil pressure
 - II. Low coolant level
 - III. Low engine oil level
 - IV. High engine temperature
 - V. Engine over speed
 - VI. Hydraulic tank oil temperature exceeding 80°C

VII. Blocked engine oil filters

- b) If Track dozer not fitted with a standard factory fitted saver / protection an AMS fail saves protection system supplied and fitted by Autoelectron cc to be supplied.

FIRE PROTECTION SYSTEM

- a) Automatic fire protection system (Specification: ANSUL 101-30 Automan or MANTA systems or ANSUL) to be supplied and installed on site at the Foskor plant under the direct supervision of a Foskor representative. Provision must be made to protect all major components.

COMPLIANCE CERTIFICATE TO BE SUPPLIED WITH TRACK DOZER.

- b) Crawler loader to be supplied with a 9.0kg, dry powder, 50% Mono Ammonium Phosphate based fire extinguisher.
- I. Fire extinguisher to be SABS approved.
 - II. Fire extinguisher to be mounted behind the driver's seat and must be easily accessible.

REVERSE CAMERA (COLLISION AVOIDANCE SYSTEM)

Truck to be supplied with an OEM (Original Equipment Manufacturer) fitted reverse or rear facing camera with dash mounted display screen and must comply with the following minimum requirements:

- a) Screen to be HD (High Definition)
- b) Minimum screen size (Approximate) 150mm X 90mm (6.0 inch)
- c) Screen to be neatly and permanently mounted in the trucks' dash and must be easily observable to the truck driver/operator.
- d) Camera must be mounted within a body panel, not protrude or be obstructive. (Cannot be accidentally bumped or damaged)
- e) Minimum camera field of view 110° in both horizontal and vertical planes.
- f) Camera to have night-vision capability.
- g) Camera and screen to be powered directly from trucks own power source (No batteries)
- h) Camera must be equipped that when an object within a 1.5-meter distance from any truck body panel is detected, an intermitting audible warning signal will activate. Warning signal may only activate when truck is engaged in reverse gear.
- i) All wiring must be neat, unobstructive and concealed within body panels.

PDS SYSTEM (COLLISION AVOIDANCE SYSTEM)

- a) All TMM at Foskor mine are required to be fitted with CAS (collision avoidance system) level 9 units, track dozer must be fitted with suitable level 9 compliant PDS.

CRITICAL SPARES TO BE SUPPLIED

- a) One set of Tracks (should be joint by masterlink)
- b) One Set of Rollers
- c) Sprocket Set
- d) One set of hydraulic idler spanners

ADDITIONAL INFORMATION REQUIRED

The following additional information is to be submitted together with the official quotation:

- a) Details of closest service and parts centre to Foskor, Phalaborwa.

- b) Names and contact details of other clients in the mining industry that have purchased similar machines within the past 24 months.
- c) Engine repair- and maintenance cost estimates based on a period of 30 000 operating hours, with a minimum of 2 000 hours per year.
- d) Estimated track dozer running costs. Estimates must include detailed information on the following:
 - I. Time between overhauls and / or replacements
 - II. Spares cost for all overhauls
 - III. Labor hours required for overhauls
 - IV. Quantities, replacement intervals and cost of all filters and consumables
 - V. Oil changing intervals and volumes required
 - VI. Summarized detailed repair- and maintenance estimates(Running costs must exclude tires, glass items, fire extinguishers and operator costs)
- e) Stipulate guarantee period on all major track dozer components.

TRAINING

- a) Supplier to provide one full on-site training session for all operators on how to inspect and safely operate the TRACK DOZER.
- b) Supplier to provide one full on-site training session for maintenance artisans (Mechanical & electrical) on how to inspect, do fault analysis and how to service and repair the Track Dozer.
- c) Before any official hand over is finalized, the successful supplier MUST ISSUE CERTIFICATES OF COMPETENCE / TRAINING TO ALL CANDIDATES THAT SUCCESSFULLY COMPLETED THE TRAINING SESSIONS.

MANUALS AND DOCUMENTATION

ALL MANUALS MUST BE IN ENGLISH, MUST BE SUBMITTED IN A BOOK OR BINDING ARCH FILE FORMAT AND MUST BE DURABLE AND OF HIGH QUALITY and **Soft copies**.

- a) **Three “operator manuals”** to be supplied. The operator’s manual must at least contain:
 - Operator responsibilities overview
 - Location of machine components
 - Detailed operating instructions and safe operating practices
 - A daily or pre-start check list.
 - A preventative maintenance and safety check list.
 - Maintenance work precautions
 - Danger and warning requirements
- b) **Three “Workshop maintenance manuals”** to be supplied. The maintenance manuals must at least contain:
 - Expected life of critical components.
 - Comprehensive list of planned maintenance (PM) tasks (structural inspections, mechanical, electrical and electronic)
 - Frequency of each PM task.
 - List of spares (with part no’s) and special tools required to do each PM task.
 - Comprehensive task description consisting of procedures and all technical information, such as pressure settings, temperature limits, torque specification, shaft alignment tolerances and voltage- & current limits, for each PM task.
 - Condition monitoring information: Recommended techniques, monitoring points, alarm values, etc

- List of reconditionable components.
 - Exploded view of each component.
 - Strip and assembly procedures.
 - Logic's and piping diagrams for both lubricating and hydraulic systems.
 - Welding specifications for structural repairs.
 - Lubrication specifications. Manual must contain at least the following information:
 - i. Full specification of the required lubricant for each application / compartment.
 - ii. Quantities of initial fills
 - iii. Expected consumption rate in each application
 - iv. Recommended intervals for complete lubricant charges
 - v. A list of at least 3 approved lubricant brands (different companies and their part no)
 - vi. Acceptable ISO-contamination levels in lubricants and hydraulic fluids
- c) **Three "Workshop Electrical and Electronic maintenance manuals"** to be supplied. The maintenance manuals must at least contain:
- b. Logic's and wiring diagrams of all electrical systems
 - c. Logic's and wiring diagrams of all electronic systems
 - d. Fault finding and test procedures
 - e. Voltage and pressure settings and limits
 - f. Repair procedures for electric motors and switchgear
 - g. Technical descriptions of all components (power supplies, PLC's, ECM's, transducers, instrumentation and operation interface panels)
 - h. PLC or ECM's programming and users guide with **software**.
 - i. Safety features
- d) **Three "Spare parts manuals"** to be supplied. The parts manual must at least contain:
- j. A list of the top 50 moving parts.
 - k. List of all spare parts
 - l. Index reflecting all part no's in numerical sequence with page no's on which the part no's appear
 - m. Special tools and their replaceable components
 - n. All accessories and their replaceable components
 - o. Exploded view illustration of each item identified by OEM part number
 - p. Identification of service exchangeable items
 - q. A list of window sizes for replacements
 - r. Vendor brand names and vendor part numbers of all non-OEM manufactured items that are approved by the OEM
 - s. Each hydraulic pipe described in terms of:
 - i. Rubber pipe length
 - ii. Pressure rating
 - iii. Internal diameter of pipe
 - iv. Descriptions of standard fittings on both ends
- e) **Three "TRACK DOZER training manuals"** to be supplied. The training manual must at least contain:
- t. Mechanical Maintenance Training
 - u. Electrical Maintenance Training
 - v. Electronic Maintenance Training
 - w. Operator Training
 - x. This manual must be written from a lecturer's perspective and contain the necessary visual aids for training purposes such as transparencies, wall charts and videos.
- f) **Three "Sets electrical drawings"**, including harness lay-out, wiring numbers and connectors

positions.

- g) If available, three copies of sectional arrangement drawings and/or illustrations showing characteristic, features and leading dimensions of the TRACK DOZER in wall chart format.

MAINTENANCE CONDITIONS

During the guarantee period, Foskor's Mine Diesel Workshop and Mine Auto Electrical workshops will be responsible for all scheduled services, maintenance requirements (Including breakdowns) and associated costs. The supplier will be responsible for all maintenance requirements as a result of poor-quality spares, components or workmanship.

QUALITY ASSURANCE

Quality assurance and workmanship of any support, components, spares, installations, and training is the responsibility of the contractor. Omission from any prescribed or agreed upon procedure or service that could have an adverse effect on the quality of any support, components, spares and training will be brought to the attention of the supplier. Failure by the supplier to correct any reported defects or to show objective evidence of acceptable conformance to requirements will result in immediate termination of contract.

Components or systems not fitted by the OEM (Original Equipment Manufacturer) must meet the OEM standards and be approved by the OEM, who will carry the full responsibility and guarantee.

AFTER SALES SERVICE

1. Full guarantee of all spares, major and minor components, materials, equipment and installations for a minimum period of at least 24 months.
2. Full description of planned support during AND after the guarantee period to be attached to the official tender.
3. The supplier shall conduct the first on-site full scheduled service of the TRACK DOZER. The supplier shall supply all labour, travelling, accommodation, service kits and any other item of expense in order to complete the service as per the maintenance schedule. During the service the supplier shall also conduct a thorough inspection of the machine in order to ensure that the machine and all components are secure and functioning according to requirement. Foskor will provide lubricants and cooling fluids. (The supplier must take note that she/he will be required to undergo specific inductions, medical, training and obtain authorisations before being allowed to enter and work on the Foskor site – details can be forwarded on request).

3 GREEN ECONOMY / CARBON FOOTPRINT

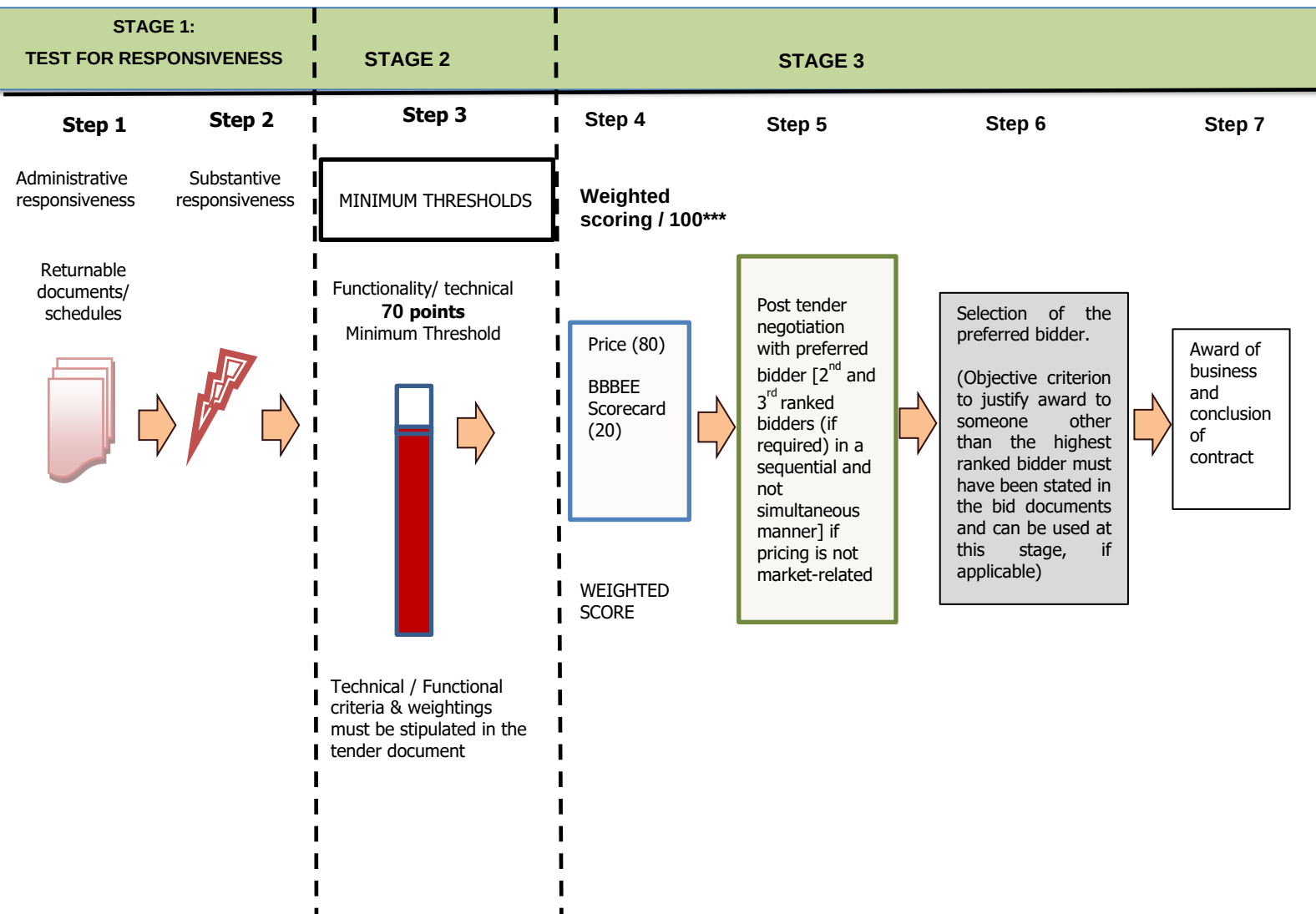
Foskor wishes to have an understanding of your company's position with regard to environmental commitments, including key environmental characteristics such as waste disposal, recycling and energy conservation. *Please submit details of your entity's policies in this regard.*

4 GENERAL SUPPLIER OBLIGATIONS

- 4.1 The Supplier(s) shall be fully responsible to Foskor for the acts and omissions of persons directly or indirectly employed by them.
- 4.2 The Supplier(s) must comply with the requirements stated in this RFP.

5 EVALUATION METHODOLOGY

Foskor will utilise the following methodology and criteria in selecting a preferred Supplier:



NB: Evaluation of the various stages will normally take place in a sequential manner. However, in order to expedite the process, Foskor reserves the right to conduct the different steps of the evaluation process in parallel. In such instances the evaluation of bidders at any given stage must not be interpreted to mean that bidders have necessarily passed any previous stage(s).

5.1 STEP ONE: Test for Administrative Responsiveness

The test for administrative responsiveness will include the following:

Administrative responsiveness check	RFP Reference
• Whether the Bid has been lodged on time	<i>Section 2 paragraph 3</i>
• Whether all Returnable Documents and/or schedules [where applicable] were completed and returned by the closing date and time	<i>Section 5</i>
• Verify the validity of all returnable documents	<i>Section 5</i>

Verify if the Bid document has been duly signed by the authorised respondent	<i>All sections</i>
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The test for administrative responsiveness [Step One] must be passed for a Respondent's Proposal to progress to Step Two for further pre-qualification

5.2 STEP TWO: Test for Substantive Responsiveness to RFP

The test for substantive responsiveness to this RFP will include the following:

Check for substantive responsiveness	RFP Reference
Whether any general and legislation qualification criteria set by Foskor, have been met	<i>All sections including: Section 2 paragraphs, 2.2, 6.</i>
Whether the Bid contains a priced offer as prescribed in the pricing and delivery schedule	<i>Section 4</i>
Whether the Bid materially complies with the scope and/or specification given	<i>All Sections</i>
Proof of registration on the National Treasury Central Supplier Database (CSD)	<i>Section 2, paragraph 13</i>

The test for substantive responsiveness [Step Two] must be passed for a Respondent's proposal to progress to Step Three for further evaluation.

Evaluation Criteria (Technical)				
No	Technical Criteria Description	% Contribution	Proof / documents to be submitted	Notes
			process	
5	SAFETY			
5.1	Minimum Safety Training required on Foskor			
a)	MQA based Basic health and Safety, First Aid, Hira. Scoring: No Training 0% Partial Training 2.5% All relevant personal trained 5%	5%	Provide proof of team compliance or plan indication how compliance will be achieved.	<u>Annexure P</u>
	Total Technical Score	100.00%		
	Note: In order for the bid to be considered the bidder needs to score 70% and above, and comply to all mandatory requirements			

The minimum threshold for technical/functionality [Step Three] must be met or exceeded for a Respondent's Proposal to progress to Step Four for final evaluation

5.4 STEP FOUR: Evaluation and Final Weighted Scoring

a) Price and TCO Criteria [Weighted score 80/90 points]:

Evaluation Criteria	RFP Reference
• Commercial offer	<i>Section 4</i>

Foskor will utilise the following formula in its evaluation of Price:

$$PS = 80 \left(1 - \frac{Pt - P_{min}}{P_{min}} \right) \text{ OR } PS = 90 \left(1 - \frac{Pt - P_{min}}{P_{min}} \right)$$

Where:

Ps = Score for the Bid under consideration
 Pt = Price of Bid under consideration
 P_{min} = Price of lowest acceptable Bid

b) **Broad-Based Black Economic Empowerment criteria** [Weighted score 20 points]

- B-BBEE - current scorecard / B-BBEE Preference Points Claims Form
- Preference points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table indicated in Section 4.1 of the B-BBEE Preference Points Claim Form.

5.5 **SUMMARY: Applicable Thresholds and Final Evaluated Weightings**

Thresholds	Minimum Threshold
Technical / functionality	70

Evaluation Criteria	Final Weighted Scores
Price and Total Cost of Ownership	80
BBBE-E Scorecard	20
TOTAL SCORE:	100

5.6 **STEP FIVE: Post Tender Negotiations (if applicable)**

- Respondents are to note that Foskor may not award a contract if the price offered is not market-related. In this regard, Foskor reserves the right to engage in PTN with the view to achieving a market-related price or to cancel the tender. Negotiations will be done in a sequential manner i.e.:
 - first negotiate with the highest ranked bidder or cancel the bid, should such negotiations fail,
 - negotiate with the 2nd and 3rd ranked bidders (if required) in a sequential manner.
- In the event of any Respondent being notified of such short-listed/preferred bidder status, his/her bid, as well as any subsequent negotiated best and final offers (BAFO), will automatically be deemed to remain valid during the negotiation period and until the ultimate award of business.
- Should Foskor conduct post tender negotiations, Respondents will be requested to provide their best and final offers to Foskor based on such negotiations. Where a market related price has been achieved through negotiation, the contract will be awarded to the successful Respondent(s).

5.7 **STEP SIX: Objective Criteria (if applicable)**

Foskor reserves the right to award the business to the highest scoring bidder/s unless objective criteria justify the award to another bidder. The objective criteria Foskor may apply in this bid process include:

- Skills Transfer and Capacity Building for Foskor;
- Impact on Foskor's Return On Investment;
- Rotation of Suppliers to promote opportunities for other suppliers, by overlooking a supplier that has been awarded business repeatedly overtime in order to benefit other suppliers in the market;
- the tenderer:
- is not under restrictions, or has principals who are under restrictions, preventing participating in the employer's procurement,

- is not undergoing a process of being restricted by Foskor or other state institution that Foskor may be aware of,
- can, as necessary and in relation to the proposed contract, demonstrate that he or she possesses the professional and technical qualifications, professional and technical competence, financial resources, equipment and other physical facilities, managerial capability, reliability, experience and reputation, expertise and the personnel, to perform the contract,
- has the legal capacity to enter into the contract
- is not insolvent, in receivership, under Business Rescue as provided for in chapter 6 of the Companies Act, 2008, bankrupt or being wound up, has his affairs administered by a court or a judicial officer, has suspended his business activities, or is subject to legal proceedings in respect of any of the foregoing,
- complies with the legal requirements, if any, stated in the tender data and
- is able, in the option of the employer to perform the contract free of conflicts of interest.

5.8 STEP SEVEN: Award of business and conclusion of contract

- Immediately after approval to award the contract has been received, the successful bidder(s) will be informed of the acceptance of his/their Bid by way of a Letter of Award. Thereafter the final contract will be concluded with the successful Respondent(s).
- A final contract will be concluded and entered into with the successful Bidder at the acceptance of a letter of award by the Respondent.

SECTION 4: PRICING AND DELIVERY SCHEDULE

NOTE: Suppliers are required to price for two options; namely NEW DOZER and USED DOZER, both of same specification with only difference being the usage or worked hours on the USED Dozer.

PLEASE PROVIDE: Price/Cost, specifications or use the following typical statements: <i>"included" in the basic price,</i> <i>"cannot supply,</i> <i>"standard fitment",</i> <i>"Do not comply", give reasons,</i> <i>Etcetera</i>		
a.	Price (Excl VAT and provide exchange rate)	
b.	Make & model of track dozer basic machine	
c.	Track Dozer is ROPS compliant (ISO 3471 1994)	
d.	Track Dozer is FOPS compliant (ISO 3449 1992)	
e.	Cab sound level with doors and windows closed (Max 82 dB(A))	
f.	Conspicuity marking requirements.	
g.	"Blank" identification labels.	
h.	RTS model DV2066 two way radio installed in overhead console	
i.	Main, working and reverse lights HELLA MEGA BEAM LED	
j.	Tail-, stop-, hazard- and directional indicators HELLA LED lamps	
k.	Step ladder lights	
l.	Externally mounted 9.0kg charge fire extinguisher	
m.	Set (2) off emergency warning triangles	
n.	Set (2) off stop-blocks mounted for easy access	
o.	Electrical back-up warning device (ECCO 787)	
p.	Amber LED strobe light (Independent on-off switch)	
q.	Bosch lockout master switch and bracket	
r.	AMS2000 fail safe protection system (If not standard fitment)	
s.	Automatic fire protection system (ASTEX or ANSUL)	
t.	Heavy duty (Rip-Stop) seat and door panel covers	
u.	Fuel tank fitted with lockable fuel cap	
v.	Air-conditioner able to handle extreme Phalaborwa summer conditions	
w.	Paint to Foskor corporate standard	
x.	Maintenance and wiring manuals (Workshop and spare parts)	
y.	Operator and training manuals	
z.	Operator- and maintenance training requirements	
aa.	First full service and inspection.	
bb.	Track Dozer delivered fully fuelled	
cc.	Delivery to Foskor, Phalaborwa, South Africa.	
dd.	Quote as optional extra (Packaged for storage as spares) 1. Bucket/Blade with adaptors and teeth	
ee.	Critical spares included on price and to be delivered.	

ff.	Level 9 PDS unit installed	
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3.2 USED TRACK DOZER – ALTERNATIVE PRICE

Supplier is required to submit the Price for USED Track Dozer as the above specification taking into account the below listed items.

The Used Dozer will have the same specification as the New Dozer specified from point 1 to 25 with exception of the following. The Track Dozer should meet the following minimum requirements to be considered compliant.

- i. Have worked maximum of 5000 hours.
- ii. Have service records or service history with approved OEM parts used.
- iii. Records of when the equipment was initial purchased.
- iv. Dekra Inspection Report and certification of the Used Dozer.
- v. Supply a running Track Dozer which is reliable enough for period of 12 months without unnecessary breakdowns.
- vi. Performance guarantee the Track Dozer for period of 12 months.
- vii. Service Level agreement to fix any problems with the Track Dozer for the first 12 months by the seller (The supplier of the Dozer to Foskor) at their own cost.
- viii. Only OEM or OEM accredited resellers are allowed to Bid.

A trigger to consider a used machine will be in instance where a used equipment meeting the above requirements is more than 30% cheaper compared to new equipment.

PLEASE PROVIDE:

Price/Cost, specifications or use the following typical statements:

"included" in the basic price,

"cannot supply,

"standard fitment",

"Do not comply", give reasons,

Etcetera

a.	Price (Excl VAT and provide exchange rate)	
b.	Make & model of track dozer basic machine	
c.	Track Dozer is ROPS compliant (ISO 3471 1994)	
d.	Track Dozer is FOPS compliant (ISO 3449 1992)	
e.	Cab sound level with doors and windows closed (Max 82 dB(A))	
f.	Conspicuity marking requirements.	
g.	"Blank" identification labels.	
h.	RTS model DV2066 two way radio installed in overhead console	
i.	Main, working, and reverse lights HELLA MEGA BEAM LED	
j.	Tail-, stop-, hazard- and directional indicators HELLA LED lamps	
k.	Step ladder lights	
l.	Externally mounted 9.0kg charge fire extinguisher	
m.	Set (2) off emergency warning triangles	
n.	Set (2) off stop-blocks mounted for easy access	
o.	Electrical back-up warning device (ECCO 787)	

p.	Amber LED strobe light (Independent on-off switch)	
q.	Bosch lockout master switch and bracket	
r.	AMS2000 fail safe protection system (If not standard fitment)	
s.	Automatic fire protection system (ASTEX or ANSUL)	
t.	Heavy duty (Rip-Stop) seat and door panel covers	
u.	Fuel tank fitted with lockable fuel cap	
v.	Air-conditioner able to handle extreme Phalaborwa summer conditions	
w.	Paint to Foskor corporate standard	
x.	Maintenance and wiring manuals (Workshop and spare parts)	
y.	Operator and training manuals	
z.	Operator and maintenance training requirements	
aa.	First full service and inspection.	
bb.	Track Dozer delivered fully fuelled	
cc.	Delivery to Foskor, Phalaborwa, South Africa.	
dd.	Quote as optional extra (Packaged for storage as spares) 1. Bucket/Blade with adaptors and teeth	
ee.	Critical spares included on price and to be delivered.	
ff.	Level 9 PDS unit installed	

Notes to Pricing:

- a) Respondents are to note that if the price offered by the highest scoring bidder is not market-related, Foskor may not award the contract to that Respondent. Foskor may-
- (i) negotiate a market-related price with the Respondent scoring the highest points or cancel the RFP;
 - (ii) if that Respondent does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the second highest points or cancel the RFP;
 - (iii) if the Respondent scoring the second highest points does not agree to a market-related price, negotiate a market-related price with the Respondent scoring the third highest points or cancel the RFP.
- If a market-related price is not agreed with the Respondent scoring the third highest points, Foskor must cancel the RFP.
- b) Prices must be quoted in South African Rand inclusive of VAT.
- c) Any disbursement not specifically priced for will not be considered/accepted by Foskor.
- d) To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this pricing schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being declared non-responsive.
- e) Rates proposed must be aligned with the Guide on Hourly Fee Rates for Consultants” by the Department of Public Service and Administration (DPSA);
- f) Quantities given are estimates only. Any orders resulting from this RFP will be on an “as and when required” basis.
- g) Please note that should you have offered a discounted price(s), Foskor will only consider such price discount(s) in the final evaluation stage if offered on an unconditional basis.

Respondent's Signature_____
Date & Company Stamp

- h) Respondents, if awarded the contract, are required to indicate that their prices quoted would be kept firm and fixed for the contract duration. [Not to be confused with bid validity period Section 2, clause 1]

YES	
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- i) Respondents, if awarded the contract, are required to indicate that their prices quoted would be kept firm and fixed a period of 12 months, subject thereafter to adjustment (i.e. after the initial period of 12 months), utilizing the following price index/indices/adjustment formula. [Not to be confused with bid validity period Section 2, clause 1]

1. DISCLOSURE OF CONTRACT INFORMATION

PRICES TENDERED

Respondents are to note that, on award of business, Foskor may be required to publish the tendered prices of the successful and unsuccessful Respondents *inter alia* on the National Treasury e-Tender Publication Portal, (www.etenders.gov.za), as required per National Treasury Instruction Note 01 of 2015/2016.

JOHANNESBURG STOCK EXCHANGE DEBT LISTING REQUIREMENTS

Foskor may also be required to disclose information relating to the subsequent contract i.e. the name of the company, goods/services provided by the company, the value and duration of the contract, etc. in compliance with the Johannesburg Stock Exchange (JSE) Debt Listing Requirements.

DOMESTIC PROMINENT INFLUENTIAL PERSONS (DPIP) OR FOREIGN PROMINENT PUBLIC OFFICIALS (FPPO)

Foskor is free to procure the services of any person within or outside the Republic of South Africa in accordance with applicable legislation. Foskor shall not conduct or conclude business transactions, with any Respondents without having:

- Considered relevant governance protocols;
- Determined the DPIP or FPPO status of that counterparty; and
- Conducted a risk assessment and due diligence to assess the potential risks that may be posed by the business relationship.

The below form contains personal information as defined in the Protection of Personal Information Act, 2013 (the "Act"). By completing the form, the signatory consents to the processing of her/his personal information in accordance with the requirements of the Act. Consent cannot unreasonably be withheld.

Is the Respondent

(Complete with a "Yes" or "No")

A DPIP/FPPO		Closely Related to a DPIP/FPPO		Closely Associated to a DPIP/FPPO	

List all known business interests, in which a DPIP/FPPO may have a direct/indirect interest or significant participation or involvement.

No	Name of Entity / Business	Role in the Entity / Business (Nature of interest/ Participation)	Shareholding %	Registration Number	Status (Mark the applicable option with an X)	
					Active	Non-Active
1						
2						
3						

Respondents declaring a commercial relationship with a DPIP or FPPO are to note that Foskor is required to annually publish on its website a list of all business contracts entered into with DPIP or FPPO. This list will include successful Respondents, if applicable.

2. RISK

Respondents must elaborate on the control measures put in place by their entity, which would mitigate the risk to Foskor pertaining to potential non-performance by the Respondent, in relation to:

2.1 Quality and specification of Goods/Services delivered:

2.2 Continuity of supply:

2.3 Compliance with the Occupational Health and Safety Act, 85 of 1993:

SIGNED at _____ on this _____ day of _____ 20__

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

2 _____

Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

Respondent's Signature

Date & Company Stamp

SECTION 5: PROPOSAL FORM AND LIST OF RETURNABLE DOCUMENTS

I/We _____
 [name of entity, company, close corporation or partnership] of [full address]

carrying on business trading/operating as

represented by _____

in my capacity as _____

being duly authorised thereto by a Resolution of the Board of Directors or Members or Certificate of Partners, dated _____ to enter into, sign execute and complete any documents relating to this proposal and any subsequent Agreement. The following list of persons are hereby authorised to negotiate on behalf of the abovementioned entity, should Foskor decide to enter into Post Tender Negotiations with highest ranked bidder(s).

FULL NAME(S)	CAPACITY	SIGNATURE
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I/We hereby offer to supply/provide the abovementioned Goods/Services at the prices quoted in the schedule of prices in accordance with the terms set forth in the documents listed in the accompanying schedule of RFP documents.

I/We agree to be bound by those conditions in Foskor's:

- (i) Master Agreement (which may be subject to amendment at Foskor's discretion if applicable);
- (ii) General Bid Conditions; and
- (iii) any other standard or special conditions mentioned and/or embodied in this Request for Proposal.

I/We accept that unless Foskor should otherwise decide and so inform me/us in the letter of award, this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence], together with Foskor's acceptance thereof shall constitute a binding contract between Foskor and me/us.

Should Foskor decide that a formal contract should be signed and so inform me/us in a letter of award [the **Letter of Award**], this Proposal [and, if any, its covering letter and any subsequent exchange of correspondence] together with Foskor's Letter of Award, shall constitute a binding contract between Foskor and me/us until the formal contract is signed.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Proposal, I/we fail to enter into a formal contract if called upon to do so, or fail to commence the supply/provision of Goods/Services within 2 [two] weeks thereafter, Foskor may, without prejudice to any other legal remedy which it may have, recover from me/us

 Respondent's Signature

 Date & Company Stamp

any expense to which it may have been put in calling for Proposals afresh and/or having to accept any less favourable Proposal.

Furthermore, I/we agree to a penalty clause/s which will allow Foskor to invoke a penalty against us for non-compliance with material terms of this RFP including the delayed delivery of the Goods/Services due to non-performance by ourselves, , etc.

I/we agree that non-compliance with any of the material terms of this RFP, including those mentioned above, will constitute a material breach of contract and provide Foskor with cause for cancellation.

ADDRESS FOR NOTICES

The law of the Republic of South Africa shall govern any contract created by the acceptance of this RFP. The *domicilium citandi et executandi* shall be a place in the Republic of South Africa to be specified by the Respondent hereunder, at which all legal documents may be served on the Respondent who shall agree to submit to the jurisdiction of the courts of the Republic of South Africa. Foreign Respondents shall, therefore, state hereunder the name of their authorised representative in the Republic of South Africa who has the power of attorney to sign any contract which may have to be entered into in the event of their Proposal being accepted and to act on their behalf in all matters relating to such contract.

Respondent to indicate the details of its *domicilium citandi et executandi* hereunder:

Name of Entity: _____

Facsimile: _____

Address: _____

NOTIFICATION OF AWARD OF RFP

As soon as possible after approval to award the contract(s), the successful Respondent [**the Supplier/Service provider**] will be informed of the acceptance of its Proposal. Foskor will also publish the outcome of the tender, including successful and unsuccessful bidders, in the National Treasury e-tender portal. Any unsuccessful bidder has a right to request reasons for the bid not to be successful and Foskor has a duty to provide those reasons on receipt of the request from the bidder.

VALIDITY PERIOD

Foskor requires a validity period of 180 Business Days [09 February 2024] against this RFP, excluding the first day and including the last day.

NAME(S) AND ADDRESS / ADDRESSES OF DIRECTOR(S) OR MEMBER(S)

The Respondent must disclose hereunder the full name(s) and address(s) of the director(s) or members of the company or close corporation [**C.C.**] on whose behalf the RFP is submitted.

(i) Registration number of company / C.C. _____

(ii) Registered name of company / C.C. _____

(iii) Full name(s) of director/member(s)	Address/Addresses	ID Number(s)
--	-------------------	--------------

Respondent's Signature

Date & Company Stamp

RETURNABLE DOCUMENTS

Returnable Documents means all the documents, Sections and Annexures, as listed in the tables below. There are three types of returnable documents as indicated below and Respondents are urged to ensure that these documents are returned with their bids based on the consequences of non-submission as indicated below:

Mandatory Returnable Documents	<i>Failure to provide all these Mandatory Returnable Documents at the Closing Date and time of this RFP <u>will</u> result in a Respondent's disqualification.</i>
Returnable Documents Used for Scoring	<i>Failure to provide all Returnable Documents used for purposes of scoring a bid, by the closing date and time of this bid will not result in a Respondent's disqualification. However, Bidders will receive an automatic score of zero for the applicable evaluation criterion.</i>
Essential Returnable Documents	<i>Failure to provide essential Returnable Documents <u>will</u> result in Foskor affording Respondents a further opportunity to submit by a set deadline. Should a Respondent thereafter fail to submit the requested documents, this may result in a Respondent's disqualification.</i>

All Returnable Sections, as indicated in the header and footer of the relevant pages, must be signed, stamped and dated by the Respondent.

a) Mandatory Returnable Documents

Respondents are required to submit with their bid submissions the following **Mandatory Returnable Documents**, and also to confirm submission of these documents by so indicating [Yes or No] in the tables below:

MANDATORY RETURNABLE DOCUMENTS	SUBMITTED [Yes/No]
SECTION 4 : Pricing and Delivery Schedule	
Technical Proposal	

No	Mandatory Requirements	Comments (submit documented proof)
	Be a recognized dealer in Track Dozers and similar mobile machines or yellow equipment	Provide company details as OEM OR OEM backed guarantee – letter from OEM authorizing the non-OEM supplier.

	Have previous experience in the supply of same or similar Track Dozers.	(Provide details of previous orders/projects successfully completed)
	Have more than 95% of spares for proposed machine locally (South Africa) available.	(Provide details of spares warehouse, stock value, -supply chain procedures, typical local- and import spares delivery times, etc)
	Have available a fully equipped maintenance workshop able to conduct major machine maintenance requirements.	(Provide details on workshop size, machines and equipment, technical staff, photos, etc.)
	Have a fully qualified field technical support team.	(Provide details on number of teams, vehicles, response times (Expect on-site within 24 hours after receiving an official order), typical cost to travel to Phalaborwa, etc)
	CIPC certificate (Companies and Intellectual Property Commission) as: i. A dealer of heavy mining industry mobile machines and equipment. ii. A dealer in the supply of maintenance and service spares for own branded mobile machines and equipment. iii. Maintenance provider for own branded mobile machines and equipment.	Provide CIPC certificates as proof
	Registration on the CSD (Central Supplier Database) of National Treasury.	Provide proof of CSD registration
	SARS (South African Revenue Services) letter of good standing	Provide proof
	Proof of business address in terms of FICA (Financial Intelligence Centre Act 38 of 2001), e.g. water and lights account.	Provide proof
	Clear structure of shareholding of the company and percentages (%)	Share certificates
	Copy of identity documents of directors	Copies
	Letter of Good Standing (COIDA)	Attach letter

Respondent's Signature

Date & Company Stamp

	Financial Statements (not older than two years)	Financial statements (For publicly listed companies relevant official website for financials is acceptable)
--	---	--

b) Returnable Documents Used for Scoring

In addition to the requirements of section (a) above, Respondents are further required to submit with their Proposals the following **Returnable Documents Used for Scoring** and also to confirm submission of these documents by so indicating [Yes or No] in the table below:

<u>RETURNABLE DOCUMENTS USED FOR SCORING</u>	SUBMITTED [Yes or No]
Valid proof of Respondent's compliance to B-BBEE requirements stipulated in Section 9 of this RFP	

c) Essential Returnable Documents:

Over and the above the requirements of section (a) and (b) mentioned above, Respondents are further required to submit with their Proposals the following **Essential Returnable Documents** and also to confirm submission of these documents by so indicating [Yes or No] in the table below:

<u>ESSENTIAL RETURNABLE DOCUMENTS & SCHEDULES</u>	SUBMITTED [Yes or No]
In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement	
Latest Financial Statements signed by your Accounting Officer or latest Audited Financial Statements plus 2 previous years	
SECTION 1: SBD1 FORM	
SECTION 5 : Proposal Form and List of Returnable documents	
SECTION 6 : Certificate Of Acquaintance with RFP, Terms & Conditions & Applicable Documents	
SECTION 7 : RFP Declaration and Breach of Law Form	
SECTION 9: Specific goals points claim form	
SECTION 12: Protection of Personal Information	
CSD Registration report	

CONTINUED VALIDITY OF RETURNABLE DOCUMENTS

The successful Respondent will be required to ensure the validity of all returnable documents, including but not limited to its valid proof of B-BBEE status, for the duration of any contract emanating from this RFP. Should the Respondent

 Respondent's Signature

 Date & Company Stamp

be awarded the contract [**the Agreement**] and fail to present Foskor with such renewals as and when they become due, Foskor shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement immediately without any liability and without prejudice to any claims which Foskor may have for damages against the Respondent.

SIGNED at _____ on this _____ day of _____ 20____

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____

Name _____

2 _____

Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

Respondent's Signature

Date & Company Stamp

SECTION 6: CERTIFICATE OF ACQUAINTANCE WITH RFP, MASTER AGREEMENT & APPLICABLE DOCUMENTS

By signing this certificate the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with, and agrees with all the conditions governing this RFP. This includes those terms and conditions contained in any printed form stated to form part hereof, including but not limited to the documents stated below. As such, Foskor SOC Ltd will recognise no claim for relief based on an allegation that the Respondent overlooked any such term or condition or failed properly to take it into account for the purpose of calculating tendered prices or any other purpose:

1	Foskor's General Bid Conditions
2	Foskor Terms and Conditions
3	Foskor's Supplier Integrity Pact
4	Non-disclosure Agreement

Note: Should a Respondent be successful and awarded the bid, they will be required to complete a Supplier Declaration Form for registration as a vendor onto the Foskor vendor master database.

Should the Bidder find any terms or conditions stipulated in any of the relevant documents quoted in the RFP unacceptable, it should indicate which conditions are unacceptable and offer alternatives by written submission on its company letterhead, attached to its submitted Bid. Any such submission shall be subject to review by Foskor's Legal Counsel who shall determine whether the proposed alternative(s) are acceptable or otherwise, as the case may be. A material deviation from any term or condition may result in disqualification.

Bidders accept that an obligation rests on them to clarify any uncertainties regarding any bid to which they intend to respond on, before submitting the bid. **The Bidder agrees that he/she will have no claim or cause of action based on an allegation that any aspect of this RFP was unclear but in respect of which he/she failed to obtain clarity.**

The bidder understands that his/her Bid will be disqualified if the Certificate of Acquaintance with RFP documents included in the RFP as a returnable document, is found not to be true and complete in every respect.

SIGNED at _____ on this _____ day of _____ 20____

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____
Name _____

2 _____
Name _____

SIGNATURE OF RESPONDENT'S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

Respondent's Signature

Date & Company Stamp

SECTION 7: RFP DECLARATION AND BREACH OF LAW FORM

NAME OF ENTITY: _____

We _____ do hereby certify that:

1. Foskor has supplied and we have received appropriate responses to any/all questions [as applicable] which were submitted by ourselves for RFP Clarification purposes;
2. We have received all information we deemed necessary for the completion of this Request for Proposal [**RFP**];
3. We have been provided with sufficient access to the existing Foskor facilities/sites and any and all relevant information relevant to the Goods/Services as well as Foskor information and Employees, and have had sufficient time in which to conduct and perform a thorough due diligence of Foskor's operations and business requirements and assets used by Foskor. Foskor will therefore not consider or permit any pre- or post-contract verification or any related adjustment to pricing, service levels or any other provisions/conditions based on any incorrect assumptions made by the Respondent in arriving at his Bid Price.
4. At no stage have we received additional information relating to the subject matter of this RFP from Foskor sources, other than information formally received from the designated Foskor contact(s) as nominated in the RFP documents;
5. We are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by Foskor in issuing this RFP and the requirements requested from Bidders in responding to this RFP have been conducted in a fair and transparent manner;
6. We have complied with all obligations of the Bidder/Supplier as indicated in the Foskor Supplier Integrity which includes but are not limited to ensuring that we take all measures necessary to prevent corrupt practices, unfairness and illegal activities in order to secure or in furtherance to secure a contract with Foskor;
7. We declare that a family, business and/or social relationship **exists / does not exist** [delete as applicable] between an owner / member / director / partner / shareholder of our entity and an employee or board member of the Foskor Group including any person who may be involved in the evaluation and/or adjudication of this Bid;
8. We declare that an owner / member / director / partner / shareholder of our entity **is / is not** [delete as applicable] an employee or board member of Foskor;
9. In addition, we declare that an owner / member / director / partner / shareholder/employee of our entity **has / has not been** [delete as applicable] a former employee or board member of Foskor in the past 10 years. I further declare that if they were a former employee or board member of Foskor in the past 10 years that they **were/were not** involved in the bid preparation or had access to the information related to this RFP; and
10. If such a relationship as indicated in paragraph 7, 8 and/or 9 exists, the Respondent is to complete the following section:

FULL NAME OF OWNER/MEMBER/DIRECTOR/
PARTNER/SHAREHOLDER/EMPLOYEE:

ADDRESS:

Indicate nature of relationship with Foskor:

[Failure to furnish complete and accurate information in this regard will lead to the disqualification of a response and may preclude a Respondent from doing future business with Foskor. Information provided in the declarations may be used by Foskor and/or its affiliates to verify the correctness of the information provided]

11. We declare, to the extent that we are aware or become aware of any relationship between ourselves and Foskor [other than any existing and appropriate business relationship with Foskor] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify Foskor immediately in writing of such circumstances.

BIDDER'S DISCLOSURE (SBD4)

12 PURPOSE OF THE FORM

12.1 Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

12.2 Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

13 Bidder's declaration

13.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state?

YES/NO

13.1.1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

13.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

YES/NO

13.2.1. If so, furnish particulars:

.....
.....

13.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

YES/NO

13.3.1. If so, furnish particulars:

.....
.....

14 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

14.1 I have read and I understand the contents of this disclosure;

14.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

14.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.

14.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

14.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

14.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

14.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 12, 13 and 14 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

BREACH OF LAW

We further hereby certify that *I/we* (the bidding entity and/or any of its directors, members or partners) ***have/have not been*** [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Respondent is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences. This includes the imposition of an administrative fine or penalty.

Where found guilty of such a serious breach, please disclose:

NATURE OF BREACH:

DATE OF BREACH: _____

Furthermore, I/we acknowledge that Foskor SOC Ltd reserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

SIGNED at _____ on this ____ day of _____ 20__

For and on behalf of _____ duly authorised hereto	AS WITNESS:
Name:	Name:
Position:	Position:
Signature:	Signature:
Date:	Registration No of Company/CC
Place:	Registration Name of Company/CC

Respondent's Signature_____
Date & Company Stamp

Date & Company Stamp

SECTION 9: B-BBEE PREFERENCE POINTS CLAIM FORM

This preference form must form part of all bids invited. It contains general information and serves as a claim for preference points for Broad-Based Black Economic Empowerment [**B-BBEE**] Status Level of Contribution.

Foskor will award preference points to companies who provide valid proof of their B-BBEE status using either the latest version of the generic Codes of Good Practice or Sector Specific Codes (if applicable).

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 Preference points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contribution.

1.3 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	80
B-BBEE STATUS LEVEL OF CONTRIBUTION	20
Total points for Price and B-BBEE must not exceed	100

1.4 Failure on the part of a bidder to submit proof of B-BBEE status level of contributor together with the bid will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.5 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- (a) **"all applicable taxes"** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- (b) **"B-BBEE"** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (c) **"B-BBEE status level of contributor"** means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (d) **"bid"** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the supply/provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;
- (e) **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

- (f) **"EME"** means an Exempted Micro Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (g) **"functionality"** means the ability of a bidder to provide goods or services in accordance with specification as set out in the bid documents
- (h) **"Price"** includes all applicable taxes less all unconditional discounts.
- (i) **"Proof of B-BBEE Status Level of Contributor"**
- i) the B-BBEE status level certificate issued by an authorised body or person;
 - ii) a sworn affidavit as prescribed by the B-BBEE Codes of Good Practice; or
 - iii) any other requirement prescribed in terms of the B-BBEE Act.
- (j) **"QSE"** means a Qualifying Small Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (k) **"rand value"** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties.

3. POINTS AWARDED FOR PRICE

3.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:
80/20

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

- P_s = Points scored for comparative price of bid under consideration
- P_t = Comparative price of bid under consideration
- $P_{\min}$ = Comparative price of lowest acceptable bid

4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION

- 4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (80/20 system)
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

- 4.2 The table below indicates the required proof of B-BBEE status depending on the category of enterprises:

Enterprise	B-BBEE Certificate & Sworn Affidavit
------------	--------------------------------------

Large	Certificate issued by SANAS accredited verification agency
QSE	Certificate issued by SANAS accredited verification agency Sworn Affidavit signed by the authorised QSE representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership (only black-owned QSEs - 51% to 100% Black owned) [Sworn affidavits must substantially comply with the format that can be obtained on the DTI's website at www.dti.gov.za/economic_empowerment/bee_codes.jsp .]
EME³	Sworn Affidavit signed by the authorised EME representative and attested by a Commissioner of Oaths confirming annual turnover and black ownership Certificate issued by CIPC (formerly CIPRO) confirming annual turnover and black ownership Certificate issued by SANAS accredited verification agency only if the EME is being measured on the QSE scorecard

- 4.3 A trust, consortium or joint venture (including unincorporated consortia and joint ventures) must submit a consolidated B-BBEE Status Level verification certificate for every separate bid.
- 4.4 Tertiary Institutions and Public Entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.
- 4.5 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.
- 4.6 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.
- 4.7 Bidders are to note that the rules pertaining to B-BBEE verification and other B-BBEE requirements may be changed from time to time by regulatory bodies such as National Treasury or the DTI. It is the Bidder's responsibility to ensure that his/her bid complies fully with all B-BBEE requirements at the time of the submission of the bid.

5. BID DECLARATION

- 5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 6.1

- 6.1 B-BBEE Status Level of Contribution: Level 1 = (maximum of 20 points)
(Points claimed in respect of paragraph 6.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.)

7. SUB-CONTRACTING

- 7.1 Will any portion of the contract be sub-contracted?

(*Tick applicable box*)

YES		NO	
-----	--	----	--

- 7.1.1 If yes, indicate:

i) What percentage of the contract will be subcontracted.....%

³ In terms of the Implementation Guide: Preferential Procurement Regulations, 2017, Version 2, paragraph 11.11 provides that in the Transport Sector, EMEs can provide a letter from accounting officer or get verified and be issued with a B-BBEE certificate by SANAS accredited professional or agency as the Transport Sector Code has not been aligned to the generic Codes. EMEs in the Transport Sector are not allowed to provide a sworn affidavit as the generic codes are not applicable to them.

- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME or QSE.

(Tick applicable box)

YES		NO	
-----	--	----	--

- v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

Designated Group: An EME or QSE which is at last 51% owned by:	EME √	QSE √
Black people		
Black people who are youth		
Black people who are women		
Black people with disabilities		
Black people living in rural or underdeveloped areas or townships		
Cooperative owned by black people		
Black people who are military veterans		
OR		
Any EME		
Any QSE		

8. **DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

8.4 TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One person business/sole propriety
- ☐ Close corporation
- ☐ Company
- ☐ (Pty) Limited

[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....

.....

8.6 COMPANY CLASSIFICATION

- ☐ Manufacturer
- ☐ Supplier
- ☐ Professional Supplier
- ☐ Other Suppliers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;

- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If a bidder submitted false information regarding its B-BBEE status level of contributor, local production and content, or any other matter required in terms of the Preferential Procurement Regulations, 2017 which will affect or has affected the evaluation of a bid, or where a bidder has failed to declare any subcontracting arrangements or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) if the successful bidder subcontracted a portion of the bid to another person without disclosing it, Foskor reserves the right to penalise the bidder up to 10 percent of the value of the contract;
 - (e) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule has been applied; and
 - (f) forward the matter for criminal prosecution.

WITNESSES

1.
2.

.....
SIGNATURE(S) OF BIDDERS(S)

DATE:

ADDRESS.....

SECTION 10: JOB-CREATION SCHEDULE**(Please ensure that you return this schedule with your bid submission)**

The Government has identified State Owned Enterprises sourcing activities as a key enabler to achieve the National Development Plan (NDP) objective of reducing unemployment from the current baseline of 28% to 6%. In order to give effect to these job creation objectives, Respondents are required to provide the following undertaking of new jobs that will be created (either by them or by their subcontractors) should they be awarded this bid.

Note that this undertaking is not required if a NIPP obligation is applicable to a Respondent's bid as indicated in Section 9.

Respondents are required to indicate below whether the NIPP obligation is applicable to their bid:

YES		NO	
------------	--	-----------	--

(a) Please indicate total number of new jobs that will be created over the term of the contract:

Total number and value of new jobs created	Total number of new jobs	Total rand value of new jobs created

(b) Of the total number of new jobs created, please indicate the number and value of new jobs to be created for the following designated groups:

	Total number of new jobs	Total rand value of new jobs
Black men		
Black women		
Black Youth		
Black people living in rural or underdeveloped areas or townships		
Black People with Disabilities		

(c) Of the total number of new jobs created, please indicate the number of skilled, semi-skilled and unskilled new jobs that will be created over the term of the contract:

	Total number of Skilled jobs	Total number of Semi-skilled jobs	Total number of Unskilled jobs
Black men			
Black women			
Black Youth			
Black people living in rural or underdeveloped areas or townships			
Black People with Disabilities			
Other			

(d) Please indicate the number of new jobs to be created, broken down per quarter over the term of the contract.

Insert additional tables for each year of the contract period:

Year 1	Q1	Q2	Q3	Q4
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Total number of new jobs				
Number of new jobs for Black men				
Number of new jobs for black women				
Number of new jobs for black youth				
Number of new jobs for black people living in rural or underdeveloped areas or townships				
Number of new jobs for black People with Disabilities				
Number of new jobs for other categories				
Number of new skilled jobs				
Number of new semi-skilled jobs				
Number of new unskilled jobs				

Respondent's Signature

Date & Company Stamp

SECTION 11: SBD 5

This document must be signed and submitted together with your bid

THE NATIONAL INDUSTRIAL PARTICIPATION PROGRAMME**INTRODUCTION**

The National Industrial Participation Programme (NIPP), which is applicable to all government procurement contracts that have an imported content, became effective on the 1 September 1996. The NIP policy and guidelines were fully endorsed by Cabinet on 30 April 1997. In terms of the Cabinet decision, all state and parastatal purchases / lease contracts (for goods, works and services) entered into after this date, are subject to the NIPP requirements. NIPP is obligatory and therefore must be complied with. The Industrial Participation Secretariat (IPS) of the Department of Trade and Industry (DTI) is charged with the responsibility of administering the programme.

1. PILLARS OF THE PROGRAMME

- 1.1 The NIPP obligation is benchmarked on the imported content of the contract. Any contract having an imported content equal to or exceeding US\$5 million or other currency equivalent to US\$5 million will have a NIP obligation. This threshold of US\$5 million can be reached as follows:
- (a) Any single contract with imported content exceeding US\$5 million.
 - or
 - (b) Multiple contracts for the same goods, works or services each with imported content exceeding US\$3 million awarded to one seller over a 2 year period which in total exceeds US\$5 million.
 - or
 - (c) A contract with a renewable option clause, where should the option be exercised the total value of the imported content will exceed US\$5 million.
 - or
 - (d) Multiple suppliers of the same goods, works or services under the same contract, where the value of the imported content of each allocation is equal to or exceeds US\$ 3 million worth of goods, works or services to the same government institution, which in total over a two (2) year period exceeds US\$5 million.
- 1.2 The NIP obligation applicable to suppliers in respect of sub-paragraphs 1.1 (a) to 1.1 (c) above will amount to 30% of the imported content whilst suppliers in respect of paragraph 1.1 (d) shall incur 30% of the total NIPP obligation on a *pro-rata* basis.
- 1.3 To satisfy the NIPP obligation, the DTI would negotiate and conclude agreements such as investments, joint ventures, sub-contracting, licensee production, export promotion, sourcing arrangements and research and development (R&D) with partners or suppliers.
- 1.4 A period of seven years has been identified as the time frame within which to discharge the obligation.

Respondent's Signature_____
Date & Company Stamp

2. REQUIREMENTS OF THE DEPARTMENT OF TRADE AND INDUSTRY

- 2.1 In order to ensure effective implementation of the programme, successful bidders (contractors) are required to, immediately after the award of a contract that is in excess of **R10 million** (ten million Rands), submit details of such a contract to the DTI for reporting purposes.
- 2.2 The purpose for reporting details of contracts in excess of the amount of R10 million (ten million Rands) is to cater for multiple contracts for the same goods, works or services; renewable contracts and multiple suppliers for the same goods, works or services under the same contract as provided for in paragraphs 1.1.(b) to 1.1. (d) above.

3. BID SUBMISSION AND CONTRACT REPORTING REQUIREMENTS OF BIDDERS AND SUCCESSFUL BIDDERS (CONTRACTORS)

- 3.1 Bidders are required to sign and submit this Standard Bidding Document (SBD 5) together with their bid documentation at the closing date and time of the bid.
- 3.2 In order to accommodate multiple contracts for the same goods, works or services; renewable contracts and multiple suppliers for the same goods, works or services under the same contract as indicated in sub-paragraphs 1.1 (b) to 1.1 (d) above and to enable the DTI in determining the NIPP obligation, successful bidders (contractors) are required, immediately after being officially notified about any successful bid with a value in excess of R10 million (ten million Rands), to contact and furnish the DTI with the following information:
- Bid number;
 - Description of the goods or services;
 - Date on which the contract was awarded;
 - Name, address and contact details of the contractor;
 - Value of the contract; and
 - Imported content of the contract, if possible.
- 3.3 The information required in paragraph 3.2 above must be sent to the Department of Trade and Industry, Private Bag X 84, Pretoria, 0001 for the attention of Mr Elias Malapane within five (5) working days after award of the contract. Mr Malapane may be contacted on telephone (012) 394 1401, facsimile (012) 394 2401 or e-mail at Elias@thedti.gov.za for further details about the programme.

4. PROCESS TO SATISFY THE NIPP OBLIGATION

- 4.1 Once the successful bidder (contractor) has made contact with and furnished the DTI with the information required, the following steps will be followed:
- a. the contractor and the DTIC will determine the NIPP obligation;
 - b. the contractor and the DTI will sign the NIPP obligation agreement;
 - c. the contractor will submit a performance guarantee to the DTI;
 - d. the contractor will submit a business concept for consideration and approval by the DTI;
 - e. upon approval of the business concept by the DTI, the contractor will submit detailed business plans outlining the business concepts;
 - f. the contractor will implement the business plans; and
 - g. the contractor will submit bi-annual progress reports on approved plans to the DTI.
- 4.2 The NIPP obligation agreement is between the DTI and the successful bidder (contractor) and, therefore, does not involve the purchasing institution.

Bid number

Closing date:

Name of bidder.....

Postal address

.....

Signature.....

Name (in print).....

Date.....

Respondent's Signature_____
Date & Company Stamp

SECTION 12: PROTECTION OF PERSONAL INFORMATION

1. The following terms shall bear the same meaning as contemplated in Section 1 of the Protection of Person information act, No.4 of 2013.(“POPIA”):

consent; data subject; electronic communication; information officer; operator; person; personal information; processing; record; Regulator; responsible party; special information; as well as any terms derived from these terms.
2. Foskor will process all information by the Respondent in terms of the requirements contemplated in Section 4(1) of the POPIA:

Accountability; Processing limitation; Purpose specification; Further processing limitation; Information quality; Openness; Security safeguards and Data subject participation.
3. The Parties acknowledge and agree that, in relation to personal information that will be processed pursuant to this RFP, the Responsible party is “Foskor” and the Data subject is the “Respondent”. Foskor will process personal information only with the knowledge and authorisation of the Respondent and will treat personal information which comes to its knowledge as confidential and will not disclose it, unless so required by law or subject to the exceptions contained in the POPIA.
4. Foskor reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in this RFP and the Respondent is required to comply with all prescripts as detailed in the POPIA relating to all information concerning Foskor.
5. In responding to this bid, Foskor acknowledges that it will obtain and have access to personal information of the Respondent. Foskor agrees that it shall only process the information disclosed by Respondent in their response to this bid for the purpose of evaluating and subsequent award of business and in accordance with any applicable law.
6. Foskor further agrees that in submitting any information or documentation requested in this RFP, the Respondent is consenting to the further processing of their personal information for the purpose of, but not limited to, risk assessment, assurances, contract award, contract management, auditing, legal opinions/litigations, investigations (if applicable), document storage for the legislatively required period, destruction, de-identification and publishing of personal information by Foskor and/or its authorised appointed third parties.
7. Furthermore, Foskor will not otherwise modify, amend or alter any personal data submitted by the Respondent or disclose or permit the disclosure of any personal data to any third party without the prior written consent from the Respondent. Similarly, Foskor requires the Respondent to process any personal information disclosed by Foskor in the bidding process in the same manner.
8. Foskor shall, at all times, ensure compliance with any applicable laws put in place and maintain sufficient measures, policies and systems to manage and secure against all forms of risks to any information that may be shared or accessed pursuant to this RFP (physically, through a computer or any other form of electronic communication).
9. Foskor shall notify the Respondent in writing of any unauthorised access to information, cybercrimes or suspected cybercrimes, in its knowledge and report such crimes or suspected crimes to the relevant authorities in accordance with applicable laws, after becoming aware of such crimes or suspected crime. The Respondent must take all

necessary remedial steps to mitigate the extent of the loss or compromise of personal information and to restore the integrity of the affected personal information as quickly as is possible.

10. The Respondent may, in writing, request Foskor to confirm and/or make available any personal information in its possession in relation to the Respondent and if such personal information has been accessed by third parties and the identity thereof in terms of the POPIA. The Respondent may further request that Foskor correct (excluding critical/mandatory or evaluation information), delete, destroy, withdraw consent or object to the processing of any personal information relating to the Respondent in Foskor's possession in terms of the provision of the POPIA and utilizing Form 2 of the POPIA Regulations.
11. In submitting any information or documentation requested in this RFP, the Respondent is hereby consenting to the processing of their personal information for the purpose of this RFP and further confirming that they are aware of their rights in terms of Section 5 of POPIA

Respondents are required to provide consent below:

YES		NO	
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12. Further, the Respondent declares that they have obtained all consents pertaining to other data subject's personal information included in its submission and thereby indemnifying Foskor against any civil or criminal action, administrative fines or other penalty or loss that may arise as a result of the processing of any personal information that the Respondent submitted.
13. The Respondent declares that the personal information submitted for the purpose of this RFP is complete, accurate, not misleading, is up to date and may be updated where applicable.

Signature of Respondent's authorised representative: _____

Should a Respondent have any complaints or objections to processing of its personal information, by Foskor, the Respondent can submit a complaint to the Information Regulator on <https://www.justice.gov.za/infoereg/>, click on contact us, click on complaints.IR@justice.gov.za